

# STATEMENT OF FINANCIAL INTEREST

## Montgomery County Ethics Committee

**Submit completed forms to:**  
**Montgomery County Ethics Committee, c/o**  
**Montgomery County Human Resources**  
**Department, 501 N. Thompson, Suite 400,**  
**Conroe TX 77301**  
**PHONE (936) 539-7886**  
**FAX (936) 788-8396**

1. Name	Title, Last, First
2. Address	Address / P.O. Box Apt. / City, State, Zip Code
3. Telephone Number	Area Code, Phone Number, Extension
4. Position:	<input type="checkbox"/> Elected Officer <sup>1</sup> (Indicate Office)  <input type="checkbox"/> Department Head (Indicate Department)  <input type="checkbox"/> Purchasing Agent  <input type="checkbox"/> Other
5. Type of Filing:	<input type="checkbox"/> Initial Application  <input type="checkbox"/> Annual Required Report (due by April 30 each year)
6. Employment  <input type="checkbox"/> Employed by Another  <input type="checkbox"/> Self-Employed	List All: Name, Address, and the industry/nature of the business of any entity with whom you are employed.
7. Partnerships/Joint Ventures of Interest	List All: Name, address and the nature of the business in which you have a partnership or joint venture of interest.

<sup>1</sup> This form is not required to be submitted by the county judge, county commissioners, the county attorney or any other County Officer (as defined by the Montgomery County Code of Ethics) who is required to file a disclosure report pursuant to Chapter 159 of the Local Government Code or the District Attorney who is required to file a financial disclosure report pursuant to Chapter 572 of the Texas Government Code.

8. Substantial Financial Interests	List All: Name, address and nature of any business in which you have a substantial financial interest <b>*(see below for definition)</b>
9. Director and Board Positions	List All: Name, address and the nature of the business of any corporations on which you serve as a director or board member, whether organized for profit or not, and whether such service is for compensation.

**DEFINITION:**

**Substantial financial interest** for the purpose of this form means:

A person has a substantial financial interest in a business entity if:

the person or a person's Family Member<sup>2</sup> owns ten percent (10%) or more of the voting stock or shares of the Business Entity<sup>3</sup>; owns either ten percent (10%) or more or \$15,000 or more of the fair market value of the Business Entity; or

funds received by the person from the Business Entity exceed ten percent (10%) of the person's gross income for the previous year.

A person has a substantial financial interest in real property other than his or her personal residence if the interest is an equitable or legal ownership with a fair market value of \$2,500 or more.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Created & Adopted on:  
Most current version:

<sup>2</sup> Family Member is defined by Section III.6. of the Code of Ethics.

<sup>3</sup> Business Entity is defined by Section III.1. of the Code of Ethics.